

MTS-2700US1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yuji Judai
Serial No.: To Be Assigned
Filed: Herewith
FOR: SEMICONDUCTOR DEVICE AND
ITS FABRICATION METHOD

: Art Unit:
: Examiner:

DIVISIONAL OF:

Applicant: Yuji Judai
Serial No.: 09/177,038
Filed: October 22, 1998
FOR: SEMICONDUCTOR DEVICE
HAVING A FERROELECTRIC
CAPACITOR WITH TENSILE STRESS
PROPERTIES

: Art Unit: 2814
: Examiner: H. Weiss

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

S I R :

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

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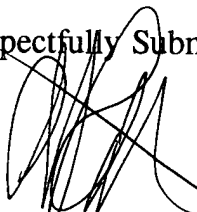
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09/990474
11/21/01

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Under 37 C.F.R. § 1.98(d), copies of the patents and publications listed on the enclosed PTO Form 1449 are not required to be provided, because they were cited by or submitted to the Patent and Trademark Office in prior application Serial No. 09/177,038, filed October 22, 1998, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

This information disclosure statement is being filed with the filing of the above-referenced application. No Fee or certification is required. 37 C.F.R. § 1.97(b).

Respectfully Submitted,



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JJJ/dlm

Enclosure: PTO-1449

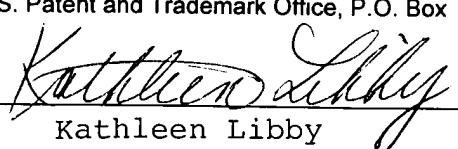
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The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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Date of Deposit: November 21, 2001

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.



Kathleen Libby